

FORM PTO-1390  
(REV. 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

6680-010

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/937090

INTERNATIONAL APPLICATION NO.

PCT/FR00/00614

INTERNATIONAL FILING DATE

March 14, 2000

PRIORITY DATE CLAIMED

March 24, 1999

TITLE OF INVENTION

METHOD FOR COPOLYMERIZATION AND RESULTING COPOLYMERS

APPLICANT(S) FOR DO/EO/US

Guillaume Desurmont; Hajime Yasuda; Jean Melinge

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☒ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:  
-International Search Report

U.S. APPLICATION NO. (if known) 37 CFR 1.53 <b>09/937090</b>		INTERNATIONAL APPLICATION NO. PCT/FR00/00614		ATTORNEY'S DOCKET NUMBER 6680-010	
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21. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... <b>\$1000.00</b>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$860.00</b>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$710.00</b>  International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$690.00</b>  International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100.00</b>  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>	<b>CALCULATIONS PTO USE ONLY</b>          <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%; text-align: right;">\$ 860.00</td> <td style="width:50%;"></td> </tr> <tr> <td style="text-align: right;">\$</td> <td></td> </tr> </table>		\$ 860.00		\$	
\$ 860.00						
\$						
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).						
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			
Total claims	29 - 20 =	9	x \$18.00	\$ 162.00		
Independent claims	4 - 3 =	1	x \$80.00	\$ 80.00		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$		
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 1,102.00		
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				+		
<b>SUBTOTAL =</b>				\$ 1,102.00		
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$		
<b>TOTAL NATIONAL FEE =</b>				\$ 1,102.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property +				\$		
<b>TOTAL FEES ENCLOSED =</b>				\$		
				Amount to be refunded:	\$	
				charged:	\$	

  

a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 50-0521 in the amount of \$ 1,102.00 to cover the above fees.  
 A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
 overpayment to Deposit Account No. 50-0521. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card  
 information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

  

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Nada Jain, Esq.  
 Clifford Chance Rogers & Wells LLP  
 200 Park Avenue  
 New York, NY 10166  
 212-878-8557

SIGNATURE  
 Nada Jain  
 NAME  
41,431  
 REGISTRATION NUMBER

Docket No. 6680-010

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Desurmont et al.  
Int'l App. No.: PCT/FR00/00614 Int'l Filing Date: March 14, 2000  
U.S. Serial No.: To Be Assigned Filing Date: Herewith  
For: METHOD FOR COPOLYMERIZATION AND RESULTING COPOLYMERS

Assistant Commissioner for Patents  
Box PCT  
Washington, DC 20231

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Label No.: EL792740398US  
Date of Deposit: September 21, 2001

I hereby certify that the enclosed Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 and required documents for entry into the national phase under PCT for the identified application are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to :

Assistant Commissioner for Patents  
Box PCT  
Washington, DC 20231

The following is a list of the documents submitted herewith:

- (1) Transmittal Letter to the United States Designated/Elected Office concerning a filing under 35 U.S.C. 371 and authorization to charge filing fee to Deposit Account 50-0521;
- (2) A copy of International Application as filed;
- (3) Preliminary Amendment
- (4) International Search Report

- (5) A copy of the Claims as Amended in Response to Written Opinion
- (6) Return Postcard

Respectfully submitted,

Dated: 9-21-01

Melissa Scanzillo  
Melissa Scanzillo

**MAILING ADDRESS:**

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